# Technical Memorandum

# SOUTHERN CALIFORNIA REGIONAL ITS ARCHITECTURE 2011 UPDATE

# **Express Lane Regional ITS Elements**

## Prepared for:



## Prepared by:



Version 1.1 094152003

Copyright © 2011, Kimley-Horn and Associates, Inc





### TABLE OF CONTENTS

# SOUTHERN CALIFORNIA REGIONAL ITS ARCHITECTURE – 2011 UPDATE EXPRESS LANE REGIONAL ITS ELEMENTS

ı.	Int	roduc	tion	1
	1.1		pose	
	1.2	кед	gional System	1
	1.2	2.1	Existing Facilities	1
	1.2	2.2	Planned Facilities	2
	1.2	2.3	Other Projects	2
2.	Sta	kehol	lders	4
	2.1	Reg	gional Stakeholders	4
	2.2	Role	es and Responsibilities	4
	2.3	Agre	eements	5
3.	ITS	Inver	ntory	б
	3.1	ITS I	Elements	6
	3.2	Mar	rket Packages	7
	3.3	Proj	ject Sequencing	7
4.	Ор	eratio	onal Concepts	<u>9</u>
5.	ITS	Stand	dards	11
6.	Fur	nction	nal Requirements	12
7	Int	erface	os	15





#### TABLE OF CONTENTS

# SOUTHERN CALIFORNIA REGIONAL ITS ARCHITECTURE – 2011 UPDATE EXPRESS LANE REGIONAL ITS ELEMENTS

#### **List of Tables**

Table 1 – Stakeholder Roles and Responsibilities	4
Table 2 – ITS Elements	6
Table 3 – Project Sequencing	8
Table 4 – Operational Concepts	9
Table 5 – Applicable ITS Standards for Express Lanes	11
Table 5 – Functional Requirements	12
List of Figures	
Figure 1 – HOV Lane Management	16
Figure 2 – Electronic Toll Collection	17





#### 1. Introduction

The Southern California Regional ITS Architecture leverages long standing investments in Intelligent Transportation Systems (ITS) by fostering coordination and cooperation among public agency stakeholders. A Regional ITS Architecture provides a framework for ITS planning that promotes interoperability and communication across jurisdictional boundaries. Projects developed under a regional framework extend the usefulness of any single project by making information easily accessible for operators and users of the system.

In Southern California, there are several ITS architectures that may be applicable to an ITS project, depending on how far reaching the project is. Each County has developed a Regional ITS Architecture. In addition, as the Metropolitan Planning Organization (MPO), SCAG has developed a Southern California Regional ITS Architecture that addresses multi-county issues: those projects, programs, and services that require connectivity across county boundaries or are deployed at a multi-county level. A third "layer" is also in place at the state level: the California ITS Architecture and System Plan addresses those services that are rolled out or managed at a state level or are interregional in nature. Project sponsors are responsible for ensuring that their projects maintain consistency with the regional architectures, regardless of which architecture applies, as a requirement for federally funded projects.

In the time between 2005, when the Southern California Regional ITS Architecture was developed, and 2011, as it is being updated, there have been several changes. The National ITS Architecture has been updated to reflect new user services, Southern California has continued as a national leader in ITS deployment with extensive ITS investments, and new technology applications have emerged. This 2011 update to the Southern California Regional ITS Architecture reflects changes since 2005 and positions the architecture to guide future ITS deployments as new technologies emerge. Topics covered in this 2011 update include express lanes, Positive Train Control, technologies in support of non-motorized transport, and goods movement in addition to the updates for other cross-county services such as to address traveler information, regional data exchange and archiving of regional data. Additionally, recommendations are made to subregional (county-level) ITS architecture champions for their consideration in the event that changes are desired to be made at the county level for the associated topic.

#### 1.1 Purpose

A system of High Occupancy Vehicle (HOV) lanes has been an integral part of the California freeway system for the past 30 years and express lanes are an innovation that has been implemented in the past 15 years.

In the past, express lanes have been regarded as localized service concepts with projects deployed within individual counties in Orange County and upcoming in Los Angeles and Riverside Counties. The movement toward more express lanes – both from the perspective of being interconnected and crossing county boundaries in the near future makes turns this into a critical, multi-county issue.

The purpose of this Technical Memorandum is to update the Southern California Regional ITS Architecture to include existing and planned elements related to express lanes. This report serves as the express lanes module to the Southern California Regional ITS Architecture.

#### 1.2 Regional System

Through 2008, there were 10,400 lane miles in the freeway system in the SCAG region, including over 1,000 lane miles of HOV and/or express lanes. The 91 Express Lanes are the only express lane facilities current in operation within the SCAG region as of the publication of this report.

#### 1.2.1 Existing Facilities





The 91 Express Lanes is a four-lane express toll facility, approximately ten miles in length located entirely within the median of SR 91 in Orange County. With two toll lanes in each direction, the 91 Express Lanes extend from the Costa Mesa Freeway (State Route 55) interchange in Anaheim to the Riverside County line. The facility is owned by OCTA and operated by a contractor. The 91 Express Lanes traffic operations center is located in the City of Anaheim.

#### 1.2.2 Planned Facilities

The following are the express lane projects that currently have legislative authority granted and are under development:

- The Riverside County Transportation Commission (RCTC) is developing two Express Lane facilities in Riverside County with the extension of the four-lane 91 Express Lanes from the Orange County line to I-15 and the addition of four express lanes on I-15 from the San Bernardino County line to SR 74.
- The Los Angeles Metropolitan Transportation Authority (LA METRO) is developing express lanes on I-10 and I-110 as part of the Los Angeles County Congestion Reduction Demonstration Project. The existing HOV lane on I-10 will become a part of this new Express Lane facility with an additional lane being added in each direction from Union Station to I-605. The existing HOV lanes on I-110 will become a part of the new Express Lane facility from the Artesia Transit Center to Adams Boulevard.

#### 1.2.3 Other Projects

The following describes future express lane and related projects under consideration as well as other related congestion pricing initiatives:

- SCAG is conducting a regional congestion pricing study to evaluate various pricing strategies to improve mobility, system performance, air quality and generate additional revenues for transportation. The strategies that the study is evaluating include: 1) Facility Pricing that charges a toll for vehicles using all lanes of a road, a bridge, or short roadway segment; 2) express lanes; 3) Cordon Pricing that charges a fee for vehicles that cross the boundary of a congested area; 4) Express Parking that charges variable parking rates depending on the supply and demand for parking spaces; 5) Area Wide Pricing that applies a charge to driving anywhere in a larger area such as a county or region; 6) VMT Fees that charge drivers based on the number of miles a vehicle has traveled; and 7) Emission Fees that charge variable fees to different vehicle classes based on the amount of pollutants that are emitted.
- As part of the Congestion Reduction Demonstration Project, the City of Los Angeles is implementing *Express*Park, a pilot project to charge variable pricing for parking in Downtown Los Angeles. The project will integrate parking meters, parking guidance systems, vehicle sensors and control center operations to manage parking occupancy in real-time.
- Three Express Lane projects are included in the OCTA 2010 Long Range Transportation Plan (LRTP): 1) Two express lanes in each direction on the I-405 freeway between SR-73 and I-605; 2) An HOV/Express Lane connector at the SR 241 and SR 91 interchange; and 3) A demonstration project to implement image-based toll collection on the TCA operated toll roads.
- The San Bernardino Association of Governments (SANBAG) is considering Express Lane facilities on I-10 and I-15 in San Bernardino County. The express lanes on I-10





- would cover 34 miles from the Los Angeles County Line to Ford Street. The express lanes on I-15 would cover 33 miles from the Riverside County Line to US-395.
- Metro is studying the potential use of public-private partnerships (PPP) to accelerate the delivery of highway and transit projects. The PPP study has identified three highway projects that could feature some form of tolling or congestion pricing: 1) The High Desert Corridor that will construct a 50 mile east-west freeway/express way between Los Angeles and San Bernardino counties; 2) A freight corridor from the Ports of Los Angeles and Long Beach on 18 miles of I-710; and 3) A proposed tunnel option for the SR 710 Gap Closure project to connect the 710 freeway with Interstate 210.





#### 2. STAKEHOLDERS

#### 2.1 Regional Stakeholders

To accommodate data exchanges between the express lane ITS elements in the Southern California Regional ITS Architecture, the following stakeholders are included:

- Regional Express Lane and Toll Road Agencies agencies that operate existing and planned express lanes comprised of LA METRO, RCTC, SANBAG, the Transportation Corridor Agencies (TCA) and OCTA
- Caltrans comprised of Districts 7, 8 and 12
- SANDAG operates the I-15 Express Lanes in neighboring San Diego County
- California Highway Patrol (CHP) responsible for enforcement and safety on express lane facilities

#### 2.2 Roles and Responsibilities

**Table 1** provides a summary of the stakeholders involved in the operation and maintenance of express lanes and their roles and responsibilities.

Table 1 - Stakeholder Roles and Responsibilities

Description	Roles/Responsibilities as Related to Express Lanes
Regional Express Lane and Toll	<ul> <li>Lead role in planning, financing, design, and construction</li> </ul>
Road Agencies	<ul> <li>Oversee day-to-day operations</li> </ul>
	Toll collection and toll violation enforcement
	<ul> <li>Customer care and account management</li> </ul>
	<ul> <li>Distribute toll revenues</li> </ul>
	<ul> <li>Fund improvements to the express lane corridors</li> </ul>
СНР	Occupancy, access, and safety enforcement
	<ul> <li>Role varies in toll violation enforcement</li> </ul>
	<ul> <li>Lead role in handling and clearance of incidents</li> </ul>
	<ul> <li>Directs traffic at incident scenes</li> </ul>
	<ul> <li>Requests lifting of lane closures or opening HOV/express</li> </ul>
	lanes to single occupant vehicles during major incidents
Caltrans	Owns freeway right-of-way
	<ul> <li>Supports performance monitoring data collection and</li> </ul>
	reporting
	<ul> <li>Provides aid to CHP (such as incident information or</li> </ul>
	traffic control)
	<ul> <li>Implements and operates planned road closures</li> </ul>
	<ul> <li>Lead role in traffic diversion and implementation of traffic</li> </ul>
	management schemes for incidents
	<ul> <li>Shares real-time traffic information with other agencies</li> </ul>
	<ul> <li>Monitoring authority for Title 21 and coordinator for</li> </ul>
	standard refinement/revisions
	<ul> <li>Roadway maintenance and debris removal</li> </ul>
	<ul> <li>Operates and maintains fiber communications</li> </ul>





Description	Roles/Responsibilities as Related to Express Lanes	
	infrastructure along the freeway right-of-way	
SANDAG	<ul> <li>Operates the I-15 Express Lanes</li> <li>Support seamless, inter-regional access for express lane users in Southern California</li> </ul>	

#### 2.3 Agreements

The following are the types of agreements in place in the form of contracts or memorandums of understanding (MOUs) between the express lane operators and supporting agencies in the operation and maintenance of express lanes:

- Toll Administration refers to the policies and procedures to coordinate back office operations among the express lane agencies to collect fees, exchange transponder tag information and transfer payments.
- **Enforcement** refers to the agreements between the express lane operating agencies and CHP to clear incidents, maintain public safety and enforce occupancy and access violations on the express lane facility. CHP is typically reimbursed by the express lane operating agencies.
- Maintenance refers to the agreements between the express lane operating agencies and Caltrans Districts for roadway maintenance and lane closure notification.
- Private Operations refers to the agreements between the express lane operating agencies and private contractors to carry out day-to-day operations and maintenance of the toll collection system, toll administration, violation processing and customer service functions.





## 3. ITS INVENTORY

This section describes the ITS projects for express lanes, their associated market packages, and approximate timing.

#### 3.1 ITS Elements

Express lane facilities in Southern California use Electronic Toll Collection (ETC) to automate toll payments and eliminate the need to collect payments at toll booths or toll collection plazas. Current and planned express lane facilities require users to carry a RFID transponder in the vehicle to track usage and assign charges to user accounts. For a regional express lane network to function, operational and technical interoperability is needed among express lane operators to enable seamless travel on express lane facilities across county boundaries.

In California, toll systems are required to meet the current statewide communication standard, known as Title 21. Title 21 defines communication and equipment specifications for toll readers and transponders to identify and validate users on toll or express lane facilities in the state. Maintaining technical interoperability allows the use of one transponder and user account versus obtaining separate transponders and accounts with each operator. Discussions are currently being held to consider revisions to Title 21 to allow for a more robust communication standard capable of two-way communications between transponders and roadside readers.

The other facet to interoperability for ETC is the coordination of back office activities among the toll and express lane operators for account processing, enforcement and payment collection. As vehicles use different express lane facilities or cross county boundaries, the express lane operators will need to exchange information about transponder tags, debit accounts and transmit payments to partner agencies. Agreements among the operating agencies spell out the business rules for the reciprocal exchange of data and the data formats for transmitting and receiving data.

In order to support cross county services and regional interoperability for express lane operations, the ITS elements in **Table 2** are included in the Southern California Regional ITS Architecture:

Table 2 - ITS Elements

Element Name	Associated Stakeholder	Description	Mapped To:
Express Lane Traveler Information Interfaces	Information Service Providers (ISPs)	Provides various traveler information outlets with Express Lane related travel information such as travel time and real time toll rates.	Information Service Provider (Subsystem)
Express Lane Transportation Management Centers	Southern California Express Lane and Toll Road Agencies	Represents the traffic operations centers of the regional Express Lane operating agencies.	Traffic Management (Subsystem)
Electronic Toll Administration Centers	Southern California Express Lane and Toll Road Agencies	Represents the back office operations or administrative systems of the regional Express Lane operating agencies	Toll Administration (Subsystem)





#### 3.2 Market Packages

User services and market packages, standard terms defined by the National ITS Architecture, are intended to be comprehensive lists of the potential ITS applications or solutions to transportation problems. Each user service or market package is generic in nature (for example the user service "Pre-trip Travel Information" is a generic description of a traveler information service provided to travelers prior to their trips such as web-based applications). They are intended to be used as a starting point for ITS planning to ensure that all potential solutions are considered. In some regional ITS architecture developments, stakeholders develop solutions that are not addressed by the available lists of user services and market packages, in which case a custom definition would be developed.

The Southern California Regional ITS Architecture did not previously reference tolling or express lanes. The addition of new ITS elements related to express lanes includes the following market packages:

**ATMS05** – **HOV Lane Management:** This market package describes the management of HOV lanes by coordinating freeway ramp meters and connector signals with HOV lane usage signals. Preferential treatment is given to HOV lanes using special bypasses, reserved lanes, and exclusive rights-of-way that may vary by time of day. Vehicle occupancy detectors may be installed to verify HOV compliance and to notify enforcement agencies of violations. While not all of these services are necessarily in place or planned in the region, this standard market package addresses any of the coordination and management activities related to HOV lane management and thus is included.

**ATMS10** – **Electronic Toll Collection:** This market package provides toll operators with the ability to collect tolls electronically and detect and process violations. The fees that are collected may be adjusted to implement demand management strategies. Field-to-vehicle communication between the roadway equipment and the vehicle is required as well as fixed point-to-fixed point interfaces between the toll collection equipment and transportation authorities and the financial infrastructure that supports fee collection. Toll violations are identified and can be manually or electronically posted to vehicle owners. Standards, inter-agency coordination, and financial clearinghouse capabilities can enable regional, and ultimately national interoperability for these services. This standard market package addresses both tolled facilities and lanes, including express lanes.

## 3.3 Project Sequencing

The ITS elements identified in this Southern California Regional ITS Architecture will be implemented through a series of projects led primarily by public sector agencies. Key foundation systems will need to be implemented in order to support other systems and projects. Project sequencing identifies those foundation systems, projects, or infrastructure that are required to be in place for other projects to move forward. The projects included here are intentionally generic in nature and were defined as a part of this architecture development as a means to allow for a theoretical connectivity based on other projects and systems that are already in place. The intent in developing these placeholder projects and identifying sequencing is to allow future project sponsors to identify their own, funded projects within this connected, regional context, and as such to have a starting point for understanding the connectivity needed for an optimized regional system. In some cases, studies may be required prior to full project development and implementation and operational agreements may be necessary for interregional projects to effectively work together. A list of potential projects is provided in **Table 3**.





### Table 3 - Project Sequencing

Project Title	Market Packages	Participating Stakeholders	Description	Timing
Title 21 Revision	Standards Development	<ul> <li>Caltrans</li> <li>Regional Express         <ul> <li>Lane and Toll</li> <li>Road Agencies</li> </ul> </li> <li>Private Industry</li> <li>CTOC</li> </ul>	Revise the statewide Title 21 specification for communications to support advanced tolling operations and two-way communications	M
Toll Transaction Data Dictionary	Standards Development	<ul> <li>Regional Express         <ul> <li>Lane and Toll</li> <li>Road Agencies</li> </ul> </li> <li>CTOC</li> </ul>	Develop a data dictionary using open standards to coordinate the exchange of transponder information and account transactions between back office operations for toll administration	S/M
Integration into Regional Trip Planners	ATIS02 – Interactive Traveler Information ATIS 4 – Dynamic Route Guidance	<ul> <li>Regional Express         <ul> <li>Lane and Toll</li> <li>Road Agencies</li> </ul> </li> <li>Regional 511         <ul> <li>providers</li> </ul> </li> </ul>	This project will allow travelers to plan cross county trips that use express lane facilities. The user can access trip cost based on the tolling schedules for the express lane operators involved.	M
Integration with PeMS	AD3 – ITS Virtual Data Warehouse	<ul><li>SCAG</li><li>Caltrans</li></ul>	PeMS collects and reports performance data for Bay Area HOT facilities. This project will report performance data for Southern California express lane and toll road facilities.	S
Automated Enforcement Technologies	ATMS05 – HOV Lane Management  ATMS10 – Electronic Toll Collection	<ul> <li>Caltrans</li> <li>Regional Express         <ul> <li>Lane and Toll</li> <li>Road Agencies</li> </ul> </li> <li>Private Industry</li> </ul>	This project will implement technologies to automatically detect the occupancy of vehicles in a reliable manner.	L
Integration with Regional Fare Systems	APTS04 – Transit Fare Collection Management	<ul> <li>Regional Express         <ul> <li>Lane Agencies</li> </ul> </li> <li>Regional transit         <ul> <li>operators</li> </ul> </li> </ul>	Buses operate express or limited stop transit service on HOV and express lane facilities. This project will allow transit operators to apply express lane charges to transit user accounts.	L
Archived Congestion Pricing Performance Data	AD3 – ITS Virtual Data Warehouse	■ SCAG	This project will archive sources of dynamic pricing data – parking and express lanes to support regional congestion pricing and planning	S
Integrated Account Services	ATMS10 – Electronic Toll Collection	<ul> <li>Regional Express         Lane and Toll         Road Agencies     </li> </ul>	This project allows express lane users to manage accounts for different operating agencies under a single point of access by phone or online.	S





## 4. OPERATIONAL CONCEPTS

The operational concepts outline how the different projects and market packages will work together in terms of the roles and responsibilities of participating stakeholders that are currently or will be involved with in the provision of interregional services related to express lanes.

A concept of operations, though similar in nature to an operational concept, defines in more detail the specifics of how a particular project or system operates in different scenarios. A concept of operations is part of a project-oriented systems engineering approach. Evolving from a project development environment, a concept of operations describes in detail not only the roles and responsibilities, but the information flows among stakeholders, scenarios for how a system operates, and required interactions and data sharing for a project. It enables later validation of the concept of what the system was meant to do (in addition to system testing to ensure that the system meets the specific requirements that were laid out). Concepts of operations for future projects can be developed by project sponsors from the corresponding portion of this operational concept.

The operational concepts for the recommended express lane market packages are provided in **Table 4**.

Table 4 - Operational Concepts

Market Package	Description			
ATMS05 – HOV	Caltrans:			
Lane Management	<ul> <li>Implement and operate HOV lanes on freeways, in partnership with regional agencies.</li> <li>Provide treatment to HOV lanes using bypasses, reserved lanes and exclusive rights-of-way.</li> <li>Monitor and control freeway sensors and video surveillance.</li> <li>Provide system-to-system interfaces to share real-time traffic data with other Caltrans Districts and regional partners.</li> <li>Coordinate the operation of ramp meters and freeway connector signals.</li> <li>Coordinate with other Caltrans Districts and regional partners to maintain consistent signage and striping for HOV and express lanes.</li> <li>Assume a lead role in developing and implementing traffic management plans for incidents and planned closures.</li> <li>Provide advanced information to travelers on incidents and construction notices.</li> <li>Work with regional operating agencies, enforcement agencies and private</li> </ul>			
	<ul> <li>industry to research automated enforcement technologies.</li> <li>Regional Agencies:         <ul> <li>Utilize traffic sensors and data shared by partner agencies to monitor express lane usage.</li> <li>Make adjustments to express lane tolling rates to maintain free flow operations.</li> <li>Work with Caltrans to implement occupancy requirements on HOV lanes.</li> <li>Work with Caltrans to develop robust performance monitoring and reporting capabilities.</li> <li>Support trip planning by disseminating dynamic pricing and occupancy</li> </ul> </li> </ul>			





Market Package	Description			
	information to the public using the various traveler information outlets.			
	CHP:			
	<ul> <li>Enforce HOV and express lane occupancy requirements</li> </ul>			
	<ul> <li>Enforce proper use of transponders in vehicles</li> </ul>			
	<ul> <li>Lead role in handling and clearance of incidents</li> </ul>			
ATMS10 -	<u>Caltrans:</u>			
Electronic Toll	<ul> <li>Act as the monitoring authority for Title 21.</li> </ul>			
Collection:	<ul> <li>Work with partner agencies to update Title 21 standards for enhanced</li> </ul>			
	transponder capabilities and support for two-way communications.			
	transponder capabilities and support for two-way communications.			
	Regional Agencies:			
	Operate and maintain local toll collection on respective agency-owned			
	facility/facilities.			
	<ul> <li>Define fare structures.</li> </ul>			
	<ul> <li>Select and implement technologies and policies regarding toll evasion (for</li> </ul>			
	example, video capture of license plate information).			
	<ul> <li>Identify and process toll violations.</li> </ul>			
	<ul> <li>Provide anonymous toll usage data to support other agency planning or</li> </ul>			
	operations (i.e., travel times).			
	<ul> <li>Support standards allowing interoperability of FasTrak on facilities throughout</li> </ul>			
	the state.			
	<ul> <li>Administer and manage back office functions to process payments, service</li> </ul>			
	accounts, and transmit violation notifications to enforcement agencies.			
	<ul> <li>Establish agreements with other operating agencies to coordinate back office</li> </ul>			
	operations.			
	<ul> <li>Agree to common data standards with other operating agencies to support</li> </ul>			
	interoperability of back office data transfers.			
	<ul> <li>Develop integrated electronic payment services that allow a single user</li> </ul>			
	account to pay for tolls, parking and transit.			
	<ul> <li>Work with partner agencies, Caltrans and CTOC to update Title 21 standards for</li> </ul>			
	enhanced transponder capabilities and support for two-way communications.			





## 5. ITS STANDARDS

The Southern California Regional ITS Architecture provides recommended current, relevant standards for each information exchange between ITS projects. Their use is not mandatory. However, in some instances, there may be funding requirements or regional policies that mandate project-specific standards such as for real-time transit information.

**Table 5** identifies the ITS standards relevant to express lane systems based upon the identified interfaces and information flows.

Table 5 – Applicable ITS Standards for Express Lanes

SDO	Document ID	Standard Title	Standard Type
AASHTO/ITE/NEMA	NTCIP 1201	Global Object Definitions	Message/Data
AASHTO/ITE/NEMA	NTCIP 1207	Object Definitions for Ramp Meter Control (RMC) Units	Message/Data
AASHTO/ITE/NEMA	NTCIP 1209	Data Element Definitions for Transportation Sensor Systems (TSS)	Message/Data
AASHTO/ITE/NEMA	NTCIP C2C	NTCIP Center-to-Center Standards Group	Group
AASHTO/ITE/NEMA	NTCIP C2F	NTCIP Center-to-Field Standards Group	Group
ASTM	DSRC 915MHz	Dedicated Short Range Communication at 915 MHz Standards Group	Group
ASTM/IEEE/SAE	DSRC 5GHz	Dedicated Short Range Communication at 5.9 GHz Standards Group	Group
IEEE	IEEE 1455- 1999	Standard for Message Sets for Vehicle/Roadside Communications	Message/Data
IEEE	IEEE P1609.11	Standard for Wireless Access in Vehicular Environments (WAVE) - Over- the-Air Data Exchange Protocol for Intelligent Transportation Systems (ITS)	Communications Protocol





# 6. FUNCTIONAL REQUIREMENTS

Functional requirements described in this update of the Southern California Regional ITS Architecture are high-level descriptions of the functions or activities of the ITS elements related to express lanes. They are developed for two reasons:

- To provide input to the identification of interfaces and information flows of the architecture; and
- To provide a resource for project sponsors in defining activities and functional relationships of the systems that may be developed or upgraded to provide cross-county ITS services.

A list of requirements that describe the functions covered by the architecture is a requisite component of the architecture according to the FHWA Final Rule for Architecture and Standards. The architecture does not prescribe that future projects meet any or all of the requirements.

For all projects that are funded with Highway Trust Funds the Final Rule states that the project should be based on a system engineering analysis, and specifically states that the analysis shall include requirements definition. The intent of the functional requirements is to provide a set of requirements that can be used to assist project sponsors in the development of functional requirements definition as required by the Final Rule. This does not preclude future projects from identifying different or additional functions, but rather, provides requirements for implementation of the regional architecture.

Future projects may choose to utilize the lists of requirements as a reference or tool to develop specific requirements that address each individual project's needs. If a project is developed that has additional functions not documented in the current list, future updates of the Southern California Regional ITS Architecture can add those requirements. This update to the architecture identifies the interconnects and information flows that may also be changed, added, or implemented as a result of future technological developments. The interconnects should also be revised in the process of updating the architecture.

A list of functional requirements defined for the express lane ITS elements in the Southern California Regional ITS Architecture is provided in **Table 5**.

Table 6 – Functional Requirements

Functional Areas	Function	Requirement
	(Equipment Package)	
Toll Administration	Toll Administration	The center shall calculate traffic flow based on time stamped toll
		transactions for vehicle travel between successive toll plazas and
		send to other agencies.
Toll Administration	Toll Administration	The center shall exchange data with other toll agencies to
		coordinate toll transactions and pricing.
Toll Administration	Toll Administration	The center shall support wide-area alerts from emergency
		centers by passing on the information to its toll plazas and the
		Toll Administrator.
Toll Administration	Toll Administration	The center shall support toll transactions by commercial fleet
		operators.
Toll Administration	Toll Data Collection	The center shall collect toll operational data and pricing data.
Toll Administration	Toll Data Collection	The center shall assign quality control metrics and meta-data to
		be stored along with the data. Meta-data may include attributes
		that describe the source and quality of the data and the





Functional Areas	Function	Requirement
	(Equipment Package)	
		conditions surrounding the collection of the data.
Toll Administration	Toll Data Collection	The center shall receive and respond to requests from ITS
		Archives for either a catalog of the toll data or for the data itself.
Toll Administration	Toll Data Collection	The center shall be able to produce sample products of the data
		available.
Toll Administration	Toll Operator Alert	The center shall receive wide-area alerts and advisories from
		emergency management centers for emergency situations such
		as severe weather events, civil emergencies, child abduction
		(AMBER alert system), military activities, and other situations that
		pose a threat to life and property.
Toll Administration	Toll Operator Alert	The center shall provide an interface with the toll administration
		center personnel to present wide-area alert notifications and to
		allow the center personnel to acknowledge the input and control
		the dissemination of the information.
Toll Administration	Toll Operator Alert	The center shall distribute wide-area alert notifications to toll
		plazas to keep toll operators informed of identified threats that
		may impact toll operations or public safety on a toll facility.
Toll Administration	Toll Operator Alert	The center shall return status back to the emergency
		management center that initiated the wide-area alert with
		information indicating the status of the alert from the toll
		operators including the information systems that are being used
		to provide the alert notification.
Toll Collection	Toll Plaza Toll	The field element shall read data from passing vehicles to support
	Collection	toll payment transactions.
Toll Collection	Toll Plaza Toll	The field element shall update the stored value after debiting the
	Collection	toll amount and send a record of the transaction to a center.
Toll Collection	Toll Plaza Toll	The field element shall read the credit identity from the passing
	Collection	vehicle and send that identity and the amount to be debited to a
		center.
Toll Collection	Toll Plaza Toll	The field element shall support advanced toll payment by
	Collection	checking the vehicle's toll information against a stored list of
		advanced payments, and debiting the toll from the list in the case
		of a match.
Toll Collection	Toll Plaza Toll	The field element shall control cameras, obtain images, and
	Collection	forward images of toll violators to a center.
Toll Collection	Toll Plaza Toll	The field element shall forward wide-area alert information to
	Collection	the Toll Operator.
Vehicle	Vehicle Toll/Parking	The vehicle shall respond to requests from toll collection
	Interface	equipment for credit identity, stored value card cash, etc.
Traffic	TMC HOV Lane	The center shall remotely control sensors to detect high-
Management	Management	occupancy vehicle (HOV) lane usage.
Traffic	TMC HOV Lane	The center shall remotely control driver information systems to





Functional Areas	Function (Equipment Package)	Requirement
Management	Management	notify users of lane status for lanes that become HOV or express lanes during certain times of the day on freeways.
Traffic Management	TMC HOV Lane Management	The center shall remotely control freeway control devices, such as ramp signals and mainline metering and other systems associated with freeway operations that control use of HOV lanes.
Traffic Management	TMC HOV Lane Management	The center shall collect traffic flow measures and information regarding vehicle occupancy (i.e., lane usage) in HOV lanes.





## 7. Interfaces

One of the key components of the Southern California Regional ITS Architecture is the definition of interfaces and information flows that define the connections between ITS systems to support the desired operational concepts and services for express lanes. The interfaces are a detailed view of system interconnections. These interconnections are described in diagram, table, and database formats. The information can be generated from a Turbo Architecture database which defines the entire Southern California Regional ITS Architecture.

While the various systems and stakeholders are identified as part of the Southern California Regional ITS Architecture, a primary purpose of the architecture is to identify the *connectivity* between transportation systems. The customized market packages from the previous section represent services that can be deployed, and the market package diagrams show the information flows between the systems. High-level views of the interconnections and data flows for the customized express lane market packages are provided in **Figure 1** and **Figure 2**.





### ATMS05 - HOV Lane Management

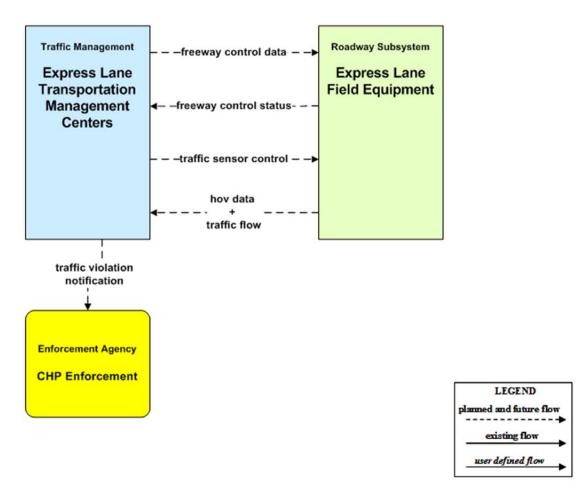


Figure 1 – HOV Lane Management





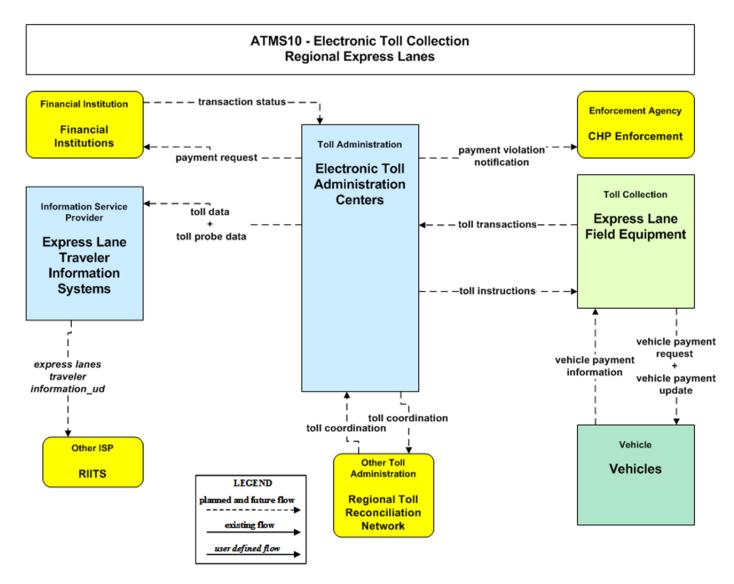


Figure 2 - Electronic Toll Collection